

GENERAL CHECKLIST OF BUSINESS PERMITS, LICENSES AND FILINGS^(a)

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|----------------------------|--------------------|
| Agency Name _____ | |
| Grant Name and Cycle _____ | Grant # _____ |
| Date Submitted _____ | Date Updated _____ |

PLEASE CHECK THE APPROPRIATE BOX FOR EACH PERMIT/LICENSE/FILING (DO NOT attach or include copies of the permits/licenses/filings. KEEP all permits/licenses/filings available in grant file for audit.)

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|--|---|--|---------------------------------------|
| Applicant/Grantee has this current valid Permit/License/Filing | | | |
| | Applicant/Grantee will obtain and/or modify this Permit/License/Filing | | |
| | This Permit/License/Filing is not applicable for the business or the proposed project. Insert "N/A" in each applicable green box. | | |
| | N/A | LOCAL GENERAL BUSINESS | REGULATOR OR ISSUER |
| | | Land Use Permit/Zoning Clearance/Conditional Use Permit | City or County if unincorporated area |
| | | Building Construction Permit | City or County |
| | | Business License (not required for unincorporated county areas) | City |
| | | Fictitious Business Name Filing | County |
| | | Permit By Rule (PBR) for Permanent HHW Facilities or Temporary Collection Events | City, County or Cal/EPA-DTSC |
| | | ABOP Notification | CUPA or Cal/EPA-DTSC |
| | | Waste Tire Facilities Permit | Cal/EPA - CIWMB |
| | | Waste and Used Tire Hauler Registration | Cal/EPA - CIWMB |
| | | Hazardous Waste Haulers Permit | Cal/EPA – DTSC |
| | | Waste Hauler Permit | City or County |
| | | STATE PERMITS AND FILINGS | |
| | | Solid Waste Facilities Permit | Cal/EPA – CIWMB |
| | | State EPA Identification Number | Cal/EPA – DTSC |
| | | Industrial Activities Storm Water General Permit | Cal/EPA – WRCB |
| | | Waste Discharge Requirements | Cal/EPA – WRCB |
| | | Corporate, Company or Partnership Filings | Ca. Secretary of State |
| | | Authority to Construct/Permit to Operate | Air Quality Management District |
| | | Non-Profit Organization 501 (C) (3) | Secretary of State |
| | | Prop. 65 Safe Drinking Water & Toxic Enforcement Act | State Health & Safety Code 25249.10 |
| | | FEDERAL PERMITS AND FILINGS | |
| | | US EPA Identification Number | US EPA |
| | | US EPA – NPDES and/or NSR Permits | US EPA |
| | | OTHER PERMITS | |
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(a) This list is not intended to be all-inclusive. Grant applicant may attach additional information for other critical permits/licenses/filings not listed above.

NOTES:

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| | PRIVATE ENTITY CERTIFICATION: I declare under penalty of perjury under the laws of the State of California that the proposed grantee: 1) is in good standing and qualified to do business in the State; and 2) has or will comply with all applicable state, federal, and local laws, ordinances, regulations, license and permit requirements necessary for the proper performance of this grant; and 3) where compliance has not been met, I have attached a letter describing what has been done to achieve full compliance. |
|--|--|

| | |
|--|---|
| | PUBLIC ENTITY CERTIFICATION: I declare under penalty of perjury under the laws of the State of California that the proposed grantee: 1) has or will comply with all applicable state, federal, and local laws, ordinances, regulations, license and permit requirements necessary for the proper performance of this grant; and 2) where compliance has not been met, have attached a letter describing what has been done to achieve full compliance. |
|--|---|

Executed at _____ on _____
City and State Date

Grant Applicant / Authorized Signatory Name and Title (print)

Signature of Grant Applicant / Authorized Signatory

Note: Falsification under penalty of perjury may result in criminal and civil penalties. In addition, pursuant to the terms of the grant agreement, any misrepresentations in the above certification shall constitute a breach of contract that could result in non-payment of grant funds to the grantee; relinquishment by the grantee of funds previously paid; termination of the grant; and/or placing the grantee on the Board's Unreliable Contractors List.